# Relational <br>  

## CSI-406 Database Systems

## Arfan Shahzad

## Relational Algebra

- The basic set of operations for the relational model is known as the relational algebra.
- These operations enable a user to specify basic retrieval requests.
- The result of a retrieval is a new relation, which may have been formed from one or more relations.


## Relational Algebra cont...

- The algebra operations thus produce new relations, which can be further manipulated using operations of the same algebra.
- A sequence of relational algebra operations forms a relational algebra expression, whose result will also be a relation that represents the result of a database query (or retrieval request).


## Relational Algebra cont... Unary Relational Operations (SELECT)

- SELECT Operation: SELECT operation is used to select a subset of the tuples from a relation that satisfy a selection condition.
- Selection condition a filter that keeps only those tuples that satisfy a qualifying condition - "those satisfying the condition are selected while others are discarded".


## Relational Algebra cont... Unary Relational Operations (SELECT)

- Example: To select the EMPLOYEE tuples whose department number is four or those whose salary is greater than $\$ 30,000$ the following notation is used:
- $\sigma_{\text {DNO }=4}$ (EMPLOYEE)
- $\sigma_{\text {SALARY }}$ 30,000 $(E M P L O Y E E)$


## Relational Algebra cont... Unary Relational Operations (SELECT)

- In general, the select operation is denoted by $\sigma$ <selection condition> (R) where the symbol $\sigma$ (sigma) is used to denote the select operator, and the selection condition is a Boolean expression specified on the attributes of relation $\boldsymbol{R}$.


## Relational Algebra cont... Unary Relational Operations (SELECT Operation Properties)

- The SELECT operation $\sigma_{\text {<selection condition> }}(R)$ produces a relation $S$ that has the same schema as $R$
- The SELECT operation $\boldsymbol{\sigma}$ is commutative; i.e.:
- $\sigma_{\text {<condition1> }}\left(\sigma_{\text {<condition2> }}(\mathrm{R})\right)=\sigma_{\text {<condition2> }}\left(\sigma_{\text {<condition1> }}(\mathrm{R})\right)$


## Relational Algebra cont... Unary Relational Operations (SELECT Operation Properties)

- A cascaded SELECT operation may be applied in any order; i.e.,
- $\sigma_{\text {<condition1> }}\left(\sigma_{\text {<condition2> }}\left(\sigma_{\text {<condition } 3>}(\mathrm{R})\right)=\sigma_{\text {<condition2> }}\left(\sigma_{\text {<condition } 3>}\left(\sigma_{\text {<condition1> }}(\mathrm{R})\right)\right)\right.$
- A cascaded SELECT operation may be replaced by a single selection with a conjunction of all the conditions; i.e.,
- $\sigma_{\text {<condition1> }}\left(\sigma_{\text {<condition2> }}\left(\sigma_{\text {<condition3> }}(\mathrm{R})\right)=\sigma_{\text {<condition1> AND }<\text { condition2> AND <condition3> }}(\mathrm{R})\right)$ )


## Relational Algebra cont... Unary Relational Operations (SELECT \& PROJECT)

Figure 6.1
Results of SELECT and PROJECT operations. (a) $\sigma_{(\text {Dno }=4 \text { AND Salary }>25000) \text { OR (Dno }=5 \text { AND Salary }>30000 \text { ) }}$ (EMPLOYEE). (b) $\pi_{\text {Lname, Fname, Salary }}$ (EMPLOYEE). (c) $\pi_{\text {Sex, Salary }}$ (EMPLOYEE).
(a)

| Fname | Minit | Lname | $\underline{\text { Ssn }}$ | Bdate | Address | Sex | Salary | Super_ssn | Dno |
| :--- | :---: | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Franklin | T | Wong | 333445555 | $1955-12-08$ | 638 Voss, Houston, TX | M | 40000 | 888665555 | 5 |
| Jennifer | S | Wallace | 987654321 | $1941-06-20$ | 291 Berry, Bellaire, TX | F | 43000 | 888665555 | 4 |
| Ramesh | K | Narayan | 666884444 | $1962-09-15$ | 975 Fire Oak, Humble, TX | M | 38000 | 333445555 | 5 |

(b)

| Lname | Fname | Salary |
| :--- | :--- | :---: |
| Smith | John | 30000 |
| Wong | Franklin | 40000 |
| Zelaya | Alicia | 25000 |
| Wallace | Jennifer | 43000 |
| Narayan | Ramesh | 38000 |
| English | Joyce | 25000 |
| Jabbar | Ahmad | 25000 |
| Borg | James | 55000 |

(c)
(c)

| Sex | Salary |
| :---: | :---: |
| M | 30000 |
| M | 40000 |
| F | 25000 |
| F | 43000 |
| M | 38000 |
| M | 25000 |
| M | 55000 |

## Relational Algebra cont... Unary Relational Operations (PROJECT)

- This operation selects certain columns from the table and discards the other columns.
- The PROJECT creates a vertical partitioning - one with the needed columns (attributes) containing results of the operation and other containing the discarded Columns.


## Relational Algebra cont... Unary Relational Operations (PROJECT)

- Example: To list each employee's first and last name and salary, the following is used:
- $\pi_{\text {Lname, fname,SAlary }}($ EMPLOYEE $)$


## Relational Algebra cont... Unary Relational Operations (PROJECT)

- The general form of the project operation is $\pi<$ attribute list $>(\mathbb{R})$ where $\pi$ (pi) is the symbol used to represent the project operation and <attribute list> is the desired list of attributes from the attributes of relation $R$.
- The project operation removes any duplicate tuples, so the result of the project operation is a set of tuples and hence a valid relation.


## Relational Algebra cont... Unary Relational Operations (PROJECT operation properties)

- The number of tuples in the result of projection $\pi_{\text {<list> }}(R)$ is always less or equal to the number of tuples in $R$.
- If the list of attributes includes a key of $R$, then the number of tuples (in a result of project) is equal to the number of tuples in $R$.
- $\pi_{\text {<list1> }}\left(\pi_{\text {<list2> }}(\mathrm{R})\right)=\pi_{\text {<list1> }}(\mathrm{R})$ as long as <list1> contains the attributes in <list2>


## Relational Algebra cont... Unary Relational Operations (PROJECT operation properties)

Figure 6.1
Results of SELECT and PROJECT operations. (a) $\sigma_{(\text {Dno }=4 \text { AND Salary } 25000) \text { OR (Dno }=5 \text { AND Salary>30000) }}$ (EMPLOYEE). (b) $\pi_{\text {Lname, Fname, Salary }}$ (EMPLOYEE). (c) $\pi_{\text {Sex, Salary }}$ (EMPLOYEE).
(a)

| Fname | Minit | Lname | Ssn | Bdate | Address | Sex | Salary | Super_ssn | Dno |
| :--- | :---: | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Franklin | T | Wong | 333445555 | $1955-12-08$ | 638 Voss, Houston, TX | M | 40000 | 888665555 | 5 |
| Jennifer | S | Wallace | 987654321 | $1941-06-20$ | 291 Berry, Bellaire, TX | F | 43000 | 888665555 | 4 |
| Ramesh | K | Narayan | 666884444 | $1962-09-15$ | 975 Fire Oak, Humble, TX | M | 38000 | 333445555 | 5 |

(b)

| Lname | Fname | Salary |
| :--- | :--- | :---: |
| Smith | John | 30000 |
| Wong | Franklin | 40000 |
| Zelaya | Alicia | 25000 |
| Wallace | Jennifer | 43000 |
| Narayan | Ramesh | 38000 |
| English | Joyce | 25000 |
| Jabbar | Ahmad | 25000 |
| Borg | James | 55000 |

(c)

| Sex | Salary |
| :---: | :---: |
| M | 30000 |
| M | 40000 |
| F | 25000 |
| F | 43000 |
| M | 38000 |
| M | 25000 |
| M | 55000 |

## Relational Algebra cont... Unary Relational Operations (PROJECT operation properties)

- The number of tuples in the result of projection $\pi_{\text {<list> }}(R)$ is always less or equal to the number of tuples in $R$.
- If the list of attributes includes a key of $R$, then the number of tuples (in a result of project) is equal to the number of tuples in $R$.
- $\pi_{\text {<list1> }}\left(\pi_{\text {<list2> }}(\mathrm{R})\right)=\pi_{\text {<list1> }}(\mathrm{R})$ as long as <list1> contains the attributes in <list2>


## Relational Algebra cont... Unary Relational Operations (Rename)

- We may want to apply several relational algebra operations one after the other.
- Either we can write the operations as a single relational algebra expression by nesting the operations, or we can apply one operation at a time and create intermediate result relations.


## Relational Algebra cont... Unary Relational Operations (Rename)

- In the latter case, we must give names to the relations that hold the intermediate results.
- Example: To retrieve the first name, last name, and salary of all employees who work in department number 5, we must apply a select and a project operation. We can write a single relational algebra expression as follows: $\pi_{\text {fName, lname, Salary }}\left(\sigma_{\text {DNo }=5}\right.$ (EMPLOYEE))


## Relational Algebra cont... Unary Relational Operations (Rename)

- OR, we can explicitly show the sequence of operations, giving a name to each intermediate relation:
- DEP5_EMPS $\leftarrow \sigma_{\text {DNO }=5}($ EMPLOYEE $)$
- RESULT $\leftarrow \pi_{\text {fNAME, LNAME, SALARY }}$ (DEP5_EMPS)


## Relational Algebra cont... Unary Relational Operations (Rename)

- The rename operator is $\rho$
- The general Rename operation can be expressed by any of the following forms:
- $\rho_{S\left(B_{1}, B_{2}, \ldots, B_{n}\right)}(R)$ is a renamed relation $\mathbf{S}$ based on $\mathbf{R}$ with column names $B_{1}, B_{1}, \ldots . . B_{n}$.


## Relational Algebra cont... Unary Relational Operations (Rename)

- $\rho_{S}(R)$ is a renamed relation $S$ based on $R$ (which does not specify column names).
- $\rho_{\left(B_{1}, B_{2}, \ldots, B_{n}\right)}(R)$ is a renamed relation with column names $B_{1}, B_{2}$, ..... $B_{n}$ which does not specify a new relation name.


# Relational Algebra cont... Unary Relational Operations (Rename) 

(a)

| Fname | Lname | Salary |
| :--- | :--- | :--- |
| John | Smith | 30000 |
| Franklin | Wong | 40000 |
| Ramesh | Narayan | 38000 |
| Joyce | English | 25000 |

Figure 6.2
Results of a sequence of operations. (a) $\pi_{\text {Fname, Lname, Salary }}$ ( $\sigma_{\text {Dno }=5}(\mathrm{EMPLOYEE})$ ). (b) Using intermediate relations and renaming of attributes.
(b)

TEMP

| Fname | Minit | Lname | $\underline{\text { Ssn }}$ | Bdate | Address | Sex | Salary | Super_ssn | Dno |
| :--- | :---: | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| John | B | Smith | 123456789 | $1965-01-09$ | 731 Fondren, Houston,TX | M | 30000 | 333445555 | 5 |
| Franklin | T | Wong | 333445555 | $1955-12-08$ | 638 Voss, Houston,TX | M | 40000 | 888665555 | 5 |
| Ramesh | K | Narayan | 666884444 | $1962-09-15$ | 975 Fire Oak, Humble,TX | M | 38000 | 333445555 | 5 |
| Joyce | A | English | 453453453 | $1972-07-31$ | 5631 Rice, Houston, TX | F | 25000 | 333445555 | 5 |

R

| First_name | Last_name | Salary |
| :--- | :--- | :---: |
| John | Smith | 30000 |
| Franklin | Wong | 40000 |
| Ramesh | Narayan | 38000 |
| Joyce | English | 25000 |

## Relational Algebra cont... Operations From Set Theory (Union)

- The result of this operation, denoted by $\mathbf{R} \cup \mathbf{S}$, is a relation that includes all tuples that are either in $R$ or in $S$ or in both $R$ and $S$.
- Duplicate tuples are eliminated.
- Example: To retrieve the social security numbers of all employees who either work in department 5 or directly supervise an employee who works in department 5, we can use the union operation as follows:


# Relational Algebra cont... Operations From Set Theory (Union) 

- DEP5_EMPS $\leftarrow \sigma_{\text {DNO }=5}$ (EMPLOYEE)
- RESULT1 $\leftarrow \pi_{\text {SSN }}$ (DEP5_EMPS)
- RESULT2 $(\mathbf{S S N}) \leftarrow \pi_{\text {SUPERSSN }}$ (DEP5_EMPS)
- RESULT $\leftarrow$ RESULT1 $\cup$ RESULT2

| Fname | Minit | Lname | Ssn | Bdate | Address | Sex | Salary | Super_ssn | Dno |
| :--- | :---: | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Franklin | T | Wong | 333445555 | $1955-12-08$ | 638 Voss, Houston, TX | M | 40000 | 888665555 | 5 |
| Jennifer | S | Wallace | 987654321 | $1941-06-20$ | 291 Berry, Bellaire, TX | F | 43000 | 888665555 | 4 |
| Ramesh | K | Narayan | 666884444 | $1962-09-15$ | 975 Fire Oak, Humble, TX | M | 38000 | 333445555 | 5 |

## Relational Algebra cont... Operations From Set Theory (Union)

- As a single relational algebra expression, this becomes:
- Result $\leftarrow \pi_{\text {Ssn }}\left(\sigma_{\text {Dno }=5}(\right.$ EMIPLOYEE $\left.)\right) \mathbf{U} \pi_{\text {Super_ssn }}\left(\sigma_{\text {Dno }=5}(\right.$ EMIPLOYEE $\left.)\right)$
- The union operation produces the tuples that are in either RESULT1 or RESULT2 or both. The two operands must be "type compatible".


# Relational Algebra cont... Operations From Set Theory (Union) 

RESULT1

| Ssn |
| :---: |
| 123456789 |
| 333445555 |
| 666884444 |
| 453453453 |

RESULT2

| Ssn |
| :---: |
| 333445555 |
| 888665555 |

RESULT

| Ssn |
| :---: |
| 123456789 |
| 333445555 |
| 666884444 |
| 453453453 |
| 888665555 |

Figure 6.3
Result of the UNION operation RESULT $\leftarrow$ RESULT1 $\cup$ RESULT2.

## Relational Algebra cont... Operations From Set Theory (Type Compatibility)

- The operand relations $\mathbf{R}_{1}\left(\mathbf{A}_{1}, \mathbf{A}_{2}, \ldots, \mathbf{A}_{n}\right)$ and $\mathbf{R}_{\mathbf{2}}\left(\mathbf{B}_{1}, \mathbf{B}_{2}, \ldots, \mathbf{B}_{n}\right)$ must have the same number of attributes, and the domains of corresponding attributes must be compatible; that is, $\operatorname{dom}\left(A_{i}\right)=\operatorname{dom}\left(B_{i}\right)$ for $i=1,2, \ldots, n$.
- The resulting relation for $\mathbf{R}_{1} \cup \mathbf{R}_{2}, \mathbf{R}_{1} \cap \mathbf{R}_{2}$, or $R_{1}-\mathbf{R}_{2}$ has the same attribute names as the first operand relation R1 (by convention).


## Relational Algebra cont... Operations From Set Theory (Type Compatibility)

Figure 6.4
STUDENT $\cup$ INSTRUCTOR
The set operations UNION, INTERSECTION, and MINUS. (a) Two union-compatible relations. (b) STUDENT $\cup$ INSTRUCTOR.
(a) STUDENT

| Fn | Ln |
| :--- | :--- |
| Susan | Yao |
| Ramesh | Shah |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |

INSTRUCTOR

| Fname | Lname |
| :--- | :--- |
| John | Smith |
| Ricardo | Browne |
| Susan | Yao |
| Francis | Johnson |
| Ramesh | Shah |

- UNION Example STUDENT $\cup$ INSTRUCTOR
(b)

| Fn | Ln |
| :--- | :--- |
| Susan | Yao |
| Ramesh | Shah |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |
| John | Smith |
| Ricardo | Browne |
| Francis | Johnson |

## Relational Algebra cont... Operations From Set Theory (Type Compatibility)

- The operand relations $\mathbf{R}_{1}\left(\mathbf{A}_{1}, \mathbf{A}_{2}, \ldots, \mathbf{A}_{n}\right)$ and $\mathbf{R}_{\mathbf{2}}\left(\mathbf{B}_{1}, \mathbf{B}_{2}, \ldots, \mathbf{B}_{n}\right)$ must have the same number of attributes, and the domains of corresponding attributes must be compatible; that is, $\operatorname{dom}\left(A_{i}\right)=\operatorname{dom}\left(B_{i}\right)$ for $i=1,2, \ldots, n$.
- The resulting relation for $\mathbf{R}_{1} \cup \mathbf{R}_{2}, \mathbf{R}_{1} \cap \mathbf{R}_{2}$, or $R_{1}-\mathbf{R}_{2}$ has the same attribute names as the first operand relation R1 (by convention).


## Relational Algebra cont... Operations From Set Theory (Intersection)

- The result of this operation, denoted by $\mathbf{R} \cap \mathbf{S}$, is a relation that includes all tuples that are in both $\mathbf{R}$ and $\mathbf{S}$.
- The two operands must be "type compatible"
- Example: The result of the intersection operation (figure below) includes only those who are both students and instructors.


# Relational Algebra cont... Operations From Set Theory (Intersection) 

## Figure 6.4

The set operations UNION, INTERSECTION, and MINUS. (a) Two union-compatible relations.
(c) STUDENT $\cap$ INSTRUCTOR.
(a) STUDENT

| Fn | Ln |
| :--- | :--- |
| Susan | Yao |
| Ramesh | Shah |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |

INSTRUCTOR

| Fname | Lname |
| :--- | :--- |
| John | Smith |
| Ricardo | Browne |
| Susan | Yao |
| Francis | Johnson |
| Ramesh | Shah |

(c)

| Fn | Ln |
| :--- | :--- |
| Susan | Yao |
| Ramesh | Shah |

## Relational Algebra cont... Operations From Set Theory (MINUS)

- MINUS operation, also called Set Difference Operation
- The result of this operation, denoted by $\mathbf{R}-\mathbf{S}$, is a relation that includes all tuples that are in $\mathbf{R}$ but not in $\mathbf{S}$.
- The two operands must be "type compatible".
- Example: The figure shows the names of students who are not instructors, and the names of instructors who are not students.


## Relational Algebra cont... Operations From Set Theory (MINUS)

Figure 6.4
The set operations UNION, INTERSECTION, and MINUS. (a) Two union-compatible relations.
(d) STUDENT - INSTRUCTOR.
(e) INSTRUCTOR - STUDENT.
(a)
STUDENT

| Fn | Ln |
| :--- | :--- |
| Susan | Yao |
| Ramesh | Shah |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |

INSTRUCTOR

| Fname | Lname |
| :--- | :--- |
| John | Smith |
| Ricardo | Browne |
| Susan | Yao |
| Francis | Johnson |
| Ramesh | Shah |

(d)

| Fn | Ln |
| :--- | :--- |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |

(e)

| Fname | Lname |
| :--- | :--- |
| John | Smith |
| Ricardo | Browne |
| Francis | Johnson |

## Set Difference (or MINUS) Operation Example

D= STUDENT - INSTRUCTOR E= INSTRUCTOR - STUDENT

## Relational Algebra cont... Operations From Set Theory

Figure 6.4
The set operations UNION, INTERSECTION, and MINUS. (a) Two union-compatible relations. (b) STUDENT $\cup$ INSTRUCTOR. (c) STUDENT $\cap$ INSTRUCTOR. (d) STUDENT - INSTRUCTOR (e) INSTRUCTOR - STUDENT.
(a) STUDENT

| Fn | Ln |
| :--- | :--- |
| Susan | Yao |
| Ramesh | Shah |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |

INSTRUCTOR

| Fname | Lname |
| :--- | :--- |
| John | Smith |
| Ricardo | Browne |
| Susan | Yao |
| Francis | Johnson |
| Ramesh | Shah |

(d)

| Fn | Ln |
| :--- | :--- |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |

(b)

| Fn | Ln |
| :--- | :--- |
| Susan | Yao |
| Ramesh | Shah |
| Johnny | Kohler |
| Barbara | Jones |
| Amy | Ford |
| Jimmy | Wang |
| Ernest | Gilbert |
| John | Smith |
| Ricardo | Browne |
| Francis | Johnson |

(e)

| Fname | Lname |
| :--- | :--- |
| John | Smith |
| Ricardo | Browne |
| Francis | Johnson |

## Relational Algebra cont... Operations From Set Theory

- Notice that both union and intersection are commutative operations; that is:
$\cdot \mathbf{R} \cup \mathbf{S}=\mathbf{S} \cup \mathbf{R}$, and $\mathbf{R} \cap \mathbf{S}=\mathbf{S} \cap \mathbf{R}$
- The minus operation is not commutative; that is, in general:
- $\mathbf{R}-\mathbf{S} \neq \mathbf{S}-\mathbf{R}$


## Relational Algebra cont... Operations From Set Theory

- Both union and intersection can be treated as n-ary operations applicable to any number of relations as both are associative operations; that is:
$\cdot \mathbf{R} \cup(\mathbf{S} \cup \mathbf{T})=(\mathbf{R} \cup \mathbf{S}) \cup T$, and $(\mathbf{R} \cap \mathbf{S}) \cap \mathbf{T}=\mathbf{R} \cap(\mathbf{S} \cap \mathbf{T})$

